Pentalpha Surveillance LLC
375 N French Rd, Ste. 100
Amherst, NY 14228
Phone: 203-660-6112 Fax: 203-629-8907
Contract Administrator: Don Simon
don.simon@pentalphasurveillance.com

PERIOD COVERED BY CONTRACT:
September 27, 2018 through September 26, 2023

Price List current through Modification #PS-0003 effective November 13, 2020

Business Size:
Small Business

On-line access to contract ordering information, terms and conditions, up-to-date pricing, and the option to create an electronic delivery order is available through GSA Advantage!™, a menu-driven database system. The INTERNET address for GSA Advantage!™ is: http://www.GSAAdvantage.gov.

For more information on ordering from Federal Supply Schedule go to the GSA Schedules page at GSA.gov.
CUSTOMER INFORMATION

1a. Table of Awarded Special Item Numbers with appropriate cross-reference to page numbers:

<table>
<thead>
<tr>
<th>SIN</th>
<th>Recovery</th>
<th>SIN Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>522310</td>
<td>522310 RC</td>
<td>Financial Advising, Loan Servicing and Asset Management Services</td>
</tr>
<tr>
<td>531210</td>
<td>531210 RC</td>
<td>Financial Asset Resolution Services</td>
</tr>
<tr>
<td>541611</td>
<td>541611 RC</td>
<td>Management and Financial Consulting, Acquisition and Grants Management Support,</td>
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<tr>
<td></td>
<td></td>
<td>and Business Program and Project Management Services</td>
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<tr>
<td>OLM</td>
<td>OLM RC</td>
<td>Order-Level Materials</td>
</tr>
</tbody>
</table>

1b. Identification of the lowest priced model number and lowest unit price for that model for each special item number awarded in the contract. This price is the Government price based on a unit of one, exclusive of any quantity/dollar volume, prompt payment, or any other concession affecting price. Those contracts that have unit prices based on the geographic location of the customer, should show the range of the lowest price, and cite the areas to which the prices apply.  N/A

1c. If the Contractor is proposing hourly rates a description of all corresponding commercial job titles, experience, functional responsibility and education for those types of employees or subcontractors who will perform services shall be provided. If hourly rates are not applicable, indicate “Not applicable” for this item. See “LABOR CATEGORY DESCRIPTIONS”, pages 5-7 hereof.

2. Maximum Order: $1,000,000.00

3. Minimum Order: $100.00

4. Geographic Coverage (delivery Area): Domestic

5. Points of production (city, county, and state or foreign country): Same as Contractor

6. Discount from list prices or statement of net price: Government net prices (discounts already deducted).

7. Quantity discounts: None

8. Prompt payment terms: Net 30 days (Information for Ordering Offices: prompt payment terms cannot be negotiated out of the contractual agreement in exchange for other concessions.)

9. Foreign items (list items by country of origin): None
10a. Time of Delivery (Contractor insert number of days): Contact Contractor

10b. Expedited Delivery. The Contractor will insert the sentence “Items available for expedited delivery are noted in this price list.” under this heading. The Contractor may use a symbol of its choosing to highlight items in its price list that have expedited delivery: Contact Contractor

10c. Overnight and 2-day delivery. The Contractor will indicate whether overnight and 2-day delivery is available. Also, the Contractor will indicate that the schedule customer may contact the Contractor for rates for overnight and 2-day delivery: Contact Contractor

10d. Urgent Requirements. The Contractor will note in its price list the “Urgent Requirements” clause of its contract and advise agencies that they can also contact the Contractor’s representative to affect a faster delivery: Contact Contractor

11. F.O.B Points: Destination

12a. Ordering Address: Same as contractor

12b. Ordering procedures: For supplies and services, the ordering procedures, information on Blanket Purchase Agreements (BPA’s), are found in Federal Acquisition Register (FAR) 8.405-3.

13. Payment address: Two Greenwich Office Park, Greenwich, CT 06831

14. Warranty provision: Contractor’s standard commercial warranty terms and conditions.

15. Export Packing Charges (if applicable): N/A

16. Terms and conditions of rental, maintenance, and repair (if applicable): N/A

17. Terms and conditions of installation (if applicable): N/A

18a. Terms and conditions of repair parts indicating date of parts price lists and any discounts from list prices (if applicable): N/A

18b. Terms and conditions for any other services (if applicable): N/A

19. List of service and distribution points (if applicable): N/A

20. List of participating dealers (if applicable): N/A

21. Preventive maintenance (if applicable): N/A

22a. Special attributes such as environmental attributes (e.g., recycled content, energy efficiency, and/or reduced pollutants) N/A
22b. If applicable, indicate that Section 508 compliance information is available on Electronic and Information Technology (EIT) supplies and services and show where full details can be found (e.g. contractor’s website or other location.) The EIT standards can be found at: www.Section508.gov/. N/A,

23. Data Universal Numbering System (DUNS) number: 360671981

24. Notification regarding registration in System of Award (SAM) database: 7NDH2
### GSA PRICING

<table>
<thead>
<tr>
<th>SIN</th>
<th>Labor Category</th>
<th>GSA Price (Inc. IFF and is net of discount) - Year 1</th>
<th>GSA Price (Inc. IFF and is net of discount) - Year 2</th>
<th>GSA Price (Inc. IFF and is net of discount) - Year 3</th>
<th>GSA Price (Inc. IFF and is net of discount) - Year 4</th>
<th>GSA Price (Inc. IFF and is net of discount) - Year 5</th>
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<tbody>
<tr>
<td>522310, 531210</td>
<td>Executive Director</td>
<td>$453.40</td>
<td>$463.38</td>
<td>$473.57</td>
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<td>522310, 531210</td>
<td>Chief Operating Officer</td>
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<td>$417.04</td>
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<td>522310, 531210</td>
<td>Vice President of Production</td>
<td>$343.58</td>
<td>$351.14</td>
<td>$358.86</td>
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<td>522310, 531210</td>
<td>Senior Product Specialist</td>
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<td>522310, 531210</td>
<td>Other Staff/Administrator</td>
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### LABOR CATEGORY DESCRIPTIONS

**Job Title:** Executive Director  
**Functional Responsibilities:** This individual will direct, plan, organize, control and manage the project to ensure that all contractual obligations are fulfilled in an efficient and timely manner, consistent with applicable law and regulation and with the protocols of the firm and/or client, which in many cases are more stringent. Firm is an affiliate of two other regulated companies, a broker-dealer regulated by FINRA and a fiduciary company regulated by the State of Connecticut Banking Commission. Firm’s day-to-day operations are managed so as to comply with the reporting and data security requirements of these two regulated affiliates. This individual negotiates and makes decisions for the firm and provides overall strategy direction including recruitment of senior managers and directors. Ultimately responsible for all written communications between client and the firm. Ensures that goals and objectives are accomplished within prescribed timeframe and funding parameters. Provides conceptual framework for business process reengineering, workflow and process automations. Also, conducts the FINRA- required annual compliance meeting for the firm.  
**Minimum Education:** Master’s Degree  
**Minimum/General Experience:** At least 20 years
**Job Title**: Chief Operating Officer  
**Functional Responsibilities**: This individual is responsible for the successful delivery of projects for clients. This role ensures that projects provide clients with business solutions that fulfill goals and expectations within the agreed scope, budget and timeframe boundaries, including adherence to firm policies and requirements related to law and regulation regarding treatment of confidential information. Is the primary officer involved in managing third party vendors who are engaged to provide data security software and services, and who are engaged to attack defenses to uncover vulnerabilities. Also plays a key role in quality control and customer satisfaction of projects. Individual must manage multiple concurrent analysis and development tasks in projects, serve as monitor, formulate and enforce work standards, develop schedules, review organizations, and manage and control funds and resources.  
**Minimum Education**: Bachelor’s Degree  
**Minimum/General Experience**: At least 12 years

**Job Title**: Vice President of Production  
**Functional Responsibilities**: This individual defines the problems and analyzes and develops plans and requirements in the subject matter area for moderately complex to complex systems. Coordinates and manages the preparation of analysis and evaluations. Provides recommendations for proper implementation of programs and systems specifications in the following specialties: business process re-engineering, workflow and process automations, process improvements, quality control and management, communications protocols, risk management and development methodologies. Is the direct supervisor of the employees doing most of the substantive day-to-day surveillance work involving data capture, data management and client contact. As such, issues relating to data security are brought to this person as an initial inquiry, for resolution or for further up-the-line consideration. Must be able to communicate to a variety of audiences, orally and in writing.  
**Minimum Education**: Bachelor’s Degree  
**Minimum/General Experience**: At least 10 years

**Job Title**: Senior Product Specialist  
**Functional Responsibilities**: This individual’s areas of responsibility include determining compliance with the requirements of servicing standards and the value of financial or real estate assets and supplying technical expertise and assistance to client or staff to help remediate problem loans and reporting shortfalls. Also, as an employee that handles highly confidential information, must be knowledgeable as to the limits of disclosure required by the firm’s protocols and applicable law. Has previous experience in the area of loan servicing, financial management and real estate. Requires experience with financial documents including organization, filing procedures and maintenance guidelines.  
**Minimum Education**: Bachelor’s Degree  
**Minimum/General Experience**: At least 8 years

**Job Title**: Product Specialist  
**Functional Responsibilities**: This individual’s areas of responsibility include overview of loan servicing by third parties and determining the value of financial or real estate assets. Also, as an employee that handles highly confidential information, must be knowledgeable as to the limits of disclosure required by the firm’s protocols and applicable law. Has previous experience in the area of loan servicing and financial management and real estate. Requires experience with financial documents including organization, filing procedures and maintenance guidelines.  
**Minimum Education**: Bachelor’s Degree  
**Minimum/General Experience**: At least 7 years
**Job Title**: Qualified Professional  
**Functional Responsibilities**: This individual’s areas of responsibility include review, analysis and revision of reports, and implementation of corrective actions to remediate problems and discrepancies that arise from the above activities. Also, as an employee that handles highly confidential information, must be knowledgeable as to the limits of disclosure required by the firm’s protocols and applicable law. This individual must have analytical experience in loan servicing, finance or business with demonstrated ability to work to meet analytical deliverables within the timeframe specified by the customer.  
**Minimum Education**: Bachelor’s Degree  
**Minimum/General Experience**: At least 5 years.

**Job Title**: Other Staff/Administrator  
**Functional Responsibilities**: This individual provides administrative support to the overall team and specific projects. Responsibilities include but are not limited to word processing, file maintenance, desktop publishing and presentations. Also, as an employee that handles highly confidential information, must be knowledgeable as to the limits of disclosure required by the firm’s protocols and applicable law.  
**Minimum Education**: Bachelor’s Degree  
**Minimum/General Experience**: At least 3 years.

**Education/Experience Substitutions**

<table>
<thead>
<tr>
<th></th>
<th>Equals</th>
<th>Bachelor’s Degree</th>
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</thead>
<tbody>
<tr>
<td>A High School Degree and four years of additional experience</td>
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<table>
<thead>
<tr>
<th></th>
<th>Equals</th>
<th>Master’s Degree</th>
</tr>
</thead>
<tbody>
<tr>
<td>A Bachelor’s Degree and four years of additional experience</td>
<td></td>
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</tr>
</tbody>
</table>

Please Note: The Service Contract Labor Standards, formerly the Service Contract Act (SCA), is applicable to this contract as it applies to the entire Multiple Award Schedule and all services provided. While no specific labor categories have been identified as being subject to SCLS due to exemptions for professional employees (FAR 22.1101, 22.1102 and 29 CFR 541.300), this contract still maintains the provisions and protections for SCLS eligible labor categories. If and/or when the contractor adds SCLS labor categories/employees to the contract through the modification process, the contractor must inform the Contracting Officer and establish an SCLS matrix identifying the GSA labor category titles, the occupational code, SCLS labor category titles and the applicable WD number. Failure to do so may result in cancellation of the contract.